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Case Docket No. TUC920000013US1

0018.0074

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

August 1, 2000
Express Mail Label No. EL484106684US

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): D.A. Burton; N. Fujita; R.L. Morton; and K. Nakase

For: **METHOD, SYSTEM, AND DATA STRUCTURES FOR USING METADATA IN UPDATING DATA IN A STORAGE DEVICE**

Enclosed are:

- ☒ 9 No. of Sheets of Drawings Sheet(s) of drawings (☒ informal) + 0 extra copies;
34 pages of Application; 20 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (☐ Will follow.)
☐ An associate power of attorney.
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney. (☐ Will follow.)
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
☐ IDS enclosed. ☐ with references.

CALCULATION OF FEES

ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	48 - 20* =	28	X \$9 or \$18	\$504	
B	INDEPENDENT CLAIMS FEE**	4 - 3* =	1	X \$39 or \$78	\$78	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					582
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$130; LARGE ENTITY FEE = \$260					\$0
E	BASIC FEE* SMALL ENTITY FEE = \$345; LARGE ENTITY FEE = \$690					\$690
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,272
G	ASSIGNMENT RECORDING FEE \$ 40					\$40
	**LIST INDEPENDENT CLAIMS 1, 16, 31, 43					

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Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
D.A. Burton, N. Fujita, R.L. Morton and
K. Nakase
Serial No.: --
Filed: August 1, 2000
For: **METHOD, SYSTEM, AND DATA
STRUCTURES FOR USING
METADATA IN UPDATING DATA IN A
STORAGE DEVICE**

Examiner: --

Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL484106684US


I hereby certify that patent application papers, including specification, claims and 9 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

August 1, 2000

(Date of Deposit)

Patricia McLaughlin

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Cross-Reference to Related Applications

[illegible]

1. Field of the Invention

2. Description of the Related Art

A cache fast write operation involves an application writing data to a storage controller cache. The write operation from the host end completes after the data is written to cache. This allows the host to immediately proceed to further operations without having to wait for the storage controller to physically copy the update to the storage device, and further wait for the delays at the storage device. The storage controller will at some later point schedule a destage operation to destage updates previously written to cache by the application programs. To protect the updates written to cache from failure events, such as a power loss, systems have designed battery backed up cache memories, which comprise a fast, volatile memory chip that is backed-up by a battery to function as a non-volatile memory. Such otherwise volatile memories, such as

RAMs and SIMMs, that are battery backed-up are referred to as a non-volatile storage units (NVS).

To maintain data in cache, the storage controller generates cache control blocks including information describing the blocks of sectors in cache. The storage controller
5 uses these control blocks when accessing data in cache, determining what tracks in cache to destage, and where to write new updates. The cache control blocks would include such information as a cache directory of the disk identifier and logical block address (LBA) of each sector from the disk in cache, state flags, active command counters, references to valid and modified sector bitmaps, and list linkage pointers for the hash and least recently
10 used (LRU) lists used for determining which sectors in cache to destage. One problem with maintaining the control blocks in NVS cache is that the control blocks consume significant space that could otherwise be used for customer data.

Thus, there is a need in the art to provide an improved technique for managing data in cache that maximizes the amount of customer data maintained in the NVS cache.
15 Still further, there is a need to maintain sufficient control information for data in cache to ensure that data being transferred from a disk or cache during a read/write operation has not been corrupted or inadvertently altered by another process. Moreover, there is a need to maintain sufficient control information on the content of the NVS so that any updates cached in the NVS cache can be recovered from the NVS cache in the event of a power
20 loss.

SUMMARY OF THE PREFERRED EMBODIMENTS

To provide an improved cache management system, preferred embodiments disclose a method, system, program, and data structures for updating data in a storage
25 device. An update to one or more blocks of customer data at addresses in the storage device is received. For each block of data to update, metadata is generated indicating the address of the block in the storage device and an error checking code that is capable of being used to determine whether the customer data in the block has changed. For each

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block of data to update, the block of data to update and the metadata for the block are written to cache. Further, for each block of data to update, the block of data and the metadata are transferred for the block from the cache to the storage device.

In further embodiments, the error checking code is further capable of being used
5 to determine whether the metadata in the block has changed.

In still further embodiments, for each block of data to update, a determination is made as to whether the address of the block of data in the metadata and the address in the storage device to update match. Further, for each block of data to update, an operation is performed on the customer data in the block and the error checking code to determine
10 whether the customer data has changed. The block of data to update and metadata for the block is transferred to the storage device if the address of the block in the metadata and requested address match and the customer data has not changed.

In still further embodiments, the steps of generating the metadata and determining whether the address of the block in the storage device and block address in metadata
15 match, performing the operation on the customer data, and error checking is performed by a device that is separate from a main processor. In such case, the device transfers the block of data from the cache to the storage device using a direct memory access (DMA) channel.

Yet further, when recovering from a power loss, the metadata for blocks in cache
20 may be used to rebuild cache control blocks for the blocks in cache.

Preferred embodiments provide a method for generating metadata with updates to blocks of data in a storage device to store with the updates in cache. This metadata may then be used to ensure that the customer data has not inadvertently been modified while in cache and that the update is written to the correct location in the storage device. Further,
25 with preferred embodiments the metadata is primarily managed from a device that is separate from the main processor of the storage controller, thereby relieving the storage controller main processor from being involved with the data transfers and maintenance and use of metadata.

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Still further, the metadata may be used to rebuild cache control blocks in the event of a power loss and recovery. In preferred embodiments, the customer data and metadata are maintained in a non-volatile portion of cache and the cache control blocks are maintained in a volatile portion of cache in order to maximize the non-volatile cache
5 space available for customer data. In the event of a power loss, the metadata can then be used to rebuild the cache control blocks in volatile memory.

BRIEF DESCRIPTION OF THE DRAWINGS

Referring now to the drawings in which like reference numbers represent
10 corresponding parts throughout:

FIG. 1 is a block diagram illustrating a computing environment in which preferred embodiments are implemented;

FIGs. 2 and 3 illustrates data structures used to perform input/output (I/O) operations with respect to a storage device in accordance with preferred embodiments of
15 the present invention;

FIG. 4 illustrate logic to set-up a hardware control block to facilitate the data update in accordance with preferred embodiments of the present invention;

FIG. 5 illustrates logic to ready the transfer of the update to cache in accordance with preferred embodiments of the present invention;

FIG. 6 illustrates logic to use the hardware control block set-up in FIG. 4 to write the update to the cache in accordance with preferred embodiments of the present
20 invention;

FIG. 7 illustrates logic to set-up an additional hardware control block to facilitate the transfer of the update from the cache to the storage device in accordance with
25 preferred embodiments of the present invention;

FIG. 8 illustrates logic to use the hardware control block set-up in FIG. 7 to transfer the update from the cache to the storage device in accordance with preferred embodiments of the present invention; and

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Variable	Mean	SD	Min	Max
Age	34.5	10.5	18	65
Gender	0.5	0.5	0	1
Marital status	0.5	0.5	0	1
Education	12.5	1.5	9	16
Income	1.5	0.5	1	2
Health status	1.5	0.5	1	2
Smoking status	0.5	0.5	0	1
Alcohol consumption	0.5	0.5	0	1
Exercise frequency	1.5	0.5	1	2
Stress level	1.5	0.5	1	2
Sleep quality	1.5	0.5	1	2
Work satisfaction	1.5	0.5	1	2
Life satisfaction	1.5	0.5	1	2
Overall health	1.5	0.5	1	2
Physical health	1.5	0.5	1	2
Mental health	1.5	0.5	1	2
Social health	1.5	0.5	1	2
Emotional health	1.5	0.5	1	2
Behavioral health	1.5	0.5	1	2
Environmental health	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Health insurance	1.5	0.5	1	2
Healthcare costs	1.5	0.5	1	2
Healthcare quality	1.5	0.5	1	2
Healthcare satisfaction	1.5	0.5	1	2
Healthcare accessibility	1.5	0.5	1	2
Healthcare affordability	1.5	0.5	1	2
Healthcare effectiveness	1.5	0.5	1	2
Healthcare safety	1.5	0.5	1	2
Healthcare equity	1.5	0.5	1	2
Healthcare transparency	1.5	0.5	1	2
Healthcare accountability	1.5	0.5	1	2
Healthcare innovation	1.5	0.5	1	2
Healthcare research	1.5	0.5	1	2
Healthcare education	1.5	0.5	1	2
Healthcare communication	1.5	0.5	1	2
Healthcare collaboration	1.5	0.5	1	2
Healthcare partnership	1.5	0.5	1	2
Healthcare leadership	1.5	0.5	1	2
Healthcare vision	1.5	0.5	1	2
Healthcare mission	1.5	0.5	1	2
Healthcare values	1.5	0.5	1	2
Healthcare culture	1.5	0.5	1	2
Healthcare climate	1.5	0.5	1	2
Healthcare environment	1.5	0.5	1	2
Healthcare setting	1.5	0.5	1	2
Healthcare location	1.5	0.5	1	2
Healthcare facilities	1.5	0.5	1	2
Healthcare equipment	1.5	0.5	1	2
Healthcare staff	1.5	0.5	1	2
Healthcare patients	1.5	0.5	1	2
Healthcare community	1.5	0.5	1	2
Healthcare society	1.5	0.5	1	2
Healthcare world	1.5	0.5	1	2
Healthcare universe	1.5	0.5	1	2
Healthcare everything	1.5	0.5	1	2
Healthcare nothing	1.5	0.5	1	2
Healthcare somewhere	1.5	0.5	1	2
Healthcare nowhere	1.5	0.5	1	2
Healthcare everywhere	1.5	0.5	1	2
Healthcare anywhere	1.5	0.5	1	2
Healthcare everywhere and anywhere	1.5	0.5	1	2
Healthcare nowhere and everywhere	1.5	0.5	1	2
Healthcare somewhere and nowhere	1.5	0.5	1	2
Healthcare anywhere and somewhere	1.5	0.5	1	2
Healthcare everywhere, anywhere, nowhere, and somewhere	1.5	0.5	1	2
Healthcare nowhere, everywhere, somewhere, and anywhere	1.5	0.5	1	2
Healthcare somewhere, nowhere, anywhere, and everywhere	1.5	0.5	1	2
Healthcare anywhere, somewhere, everywhere, and nowhere	1.5	0.5	1	2
Healthcare everywhere, anywhere, nowhere, somewhere, and everywhere	1.5	0.5	1	2
Healthcare nowhere, everywhere, somewhere, anywhere, and everywhere	1.5	0.5	1	2
Healthcare somewhere, nowhere, anywhere, everywhere, and nowhere	1.5	0.5	1	2
Healthcare anywhere, somewhere, everywhere, nowhere, and everywhere	1.5	0.5	1	2
Healthcare everywhere, anywhere, nowhere, somewhere, and everywhere	1.5	0.5	1	2
Healthcare nowhere, everywhere, somewhere, anywhere, and everywhere	1.5	0.5	1	2
Healthcare somewhere, nowhere, anywhere, everywhere, and nowhere	1.5	0.5	1	2
Healthcare anywhere, somewhere, everywhere, nowhere, and everywhere	1.5	0.5	1	2
Healthcare everywhere, anywhere, nowhere, somewhere, and everywhere	1.5	0.5	1	2
Healthcare nowhere, everywhere, somewhere, anywhere, and everywhere	1.5	0.5	1	2
Healthcare somewhere, nowhere, anywhere, everywhere, and nowhere	1.5	0.5	1	2
Healthcare anywhere, somewhere, everywhere, nowhere, and everywhere	1.5	0.5	1	2
Healthcare everywhere, anywhere, nowhere, somewhere, and everywhere				

5 In the following description, reference is made to the accompanying drawings which form a part hereof and which illustrate several embodiments of the present invention. It is understood that other embodiments may be utilized and structural and operational changes may be made without departing from the scope of the present invention.

In preferred embodiments, the storage controller 8 controller includes a main processor 10, a cache 12, and an I/O manager 14. In preferred embodiments, the I/O manager 14 comprises a separate integrated circuit device that manages the transfer of data between the storage device 6 and host 4. In preferred embodiments, data is transferred among the host 4, cache 12, and storage device 6 via the I/O manager 14 without requiring the processor 10 to be involved in the data movement operations. In this way, the processor 10 is relieved of having to directly manage the data transfer operations, thereby improving overall storage controller 8 performance. This

Variable	Mean	SD	Min	Max
Age (years)	34.2	10.5	18	65
Gender				
Male	58	10	18	65
Female	42	10	18	65
Education (years)	12.5	2.5	8	16
Occupation				
Student	15	5	18	25
Teacher	10	5	18	25
Engineer	10	5	18	25
Doctor	10	5	18	25
Nurse	10	5	18	25
Other	10	5	18	25
Marital status				
Single	45	10	18	65
Married	55	10	18	65
Religion				
Hindu	45	10	18	65
Muslim	35	10	18	65
Christian	10	5	18	25
Other	10	5	18	25
Income (Rs. per month)	15,000	5,000	5,000	30,000
Health status				
Good	45	10	18	65
Fair	35	10	18	65
Poor	20	5	18	25
Smoking status				
Smoker	15	5	18	25
Nonsmoker	45	10	18	65
Alcohol consumption				
Regular	10	5	18	25
Occasional	10	5	18	25
Never	80	10	18	65
Exercise frequency				
Daily	10	5	18	25
Weekly	10	5	18	25
Monthly	10	5	18	25
Never	70	10	18	65
Stress level				
Low	10	5	18	25
Medium	10	5	18	25
High	80	10	18	65
Depression score				
Low	10	5	18	25
Medium	10	5	18	25
High	80	10	18	65

15 A host protocol chip 30 provides for the data transfer protocol processing, such as SCSI or Fibre Channel protocol, to move data between the I/O manager 14 and host 4. A storage protocol chip 32 provides for data transfer protocol processing between the I/O manager 14 and the storage device 6. The host 30 and storage 32 protocol chips would each include a DMA controller to transfer data along DMA channels between the host 4
20 and cache 12 and cache 12 and storage 6 without involving the storage controller 8 main processor 10.

In preferred embodiments, the I/O manager 14 encodes sectors of data being transferred among the host 4, storage device 6, and cache 12 with an eight byte physical address identifying the volume and logical block address (LBA) of the sector and a two

byte LRC code formed by XORing the customer data and physical address (PA) in the sector. The physical address (PA) may comprise a logical address that is further processed to obtain the physical location of the data. In preferred embodiments, each data sector or sector of customer data comprises 512 bytes. Thus, the format of the data sector
5 maintained by the I/O manager 14 may be as follows: bytes 0-511 include the customer data; bytes 512-517 include the physical address of the sector in the storage device 6; and bytes 518-519 includes the LRC code.

In the described embodiments, data from the host 4 being written to the storage device 6 is first placed in cache 12. In this way, the host 4 does not have to wait for the
10 storage controller 8 to complete the update to the storage device 6 in order to proceed as the updates are applied to cache 12 and then later destaged to the storage device 6. Thus, the performance of the host 4 update is not dependent on the speed of the storage device 6 and storage controller 8. Data being read by the host 4 from the storage device 6 is also placed in cache 12 to allow subsequent requests to the same data to be serviced from
15 cache 12, which is faster than returning data from the storage device 6.

For a Flash Copy operation, if writing to a source sector having data that has not yet been copied to the target, the source sector subject to the update must first be copied to the corresponding target sectors before overwriting the source data. Similarly, if reading a target sector that has not yet been updated with the source data, the storage
20 controller 8 must provide the source data before reading the target data.

The physical address (PA) and LRC fields in the 520 byte sector are generated by the I/O manager 14 when the updates are written into cache 12 from the host 4 or when the sector in the storage device 6 staged into cache 12 does not include the eight bytes of metadata. In certain embodiments, the eight bytes of metadata may be stored with the
25 sector written to the storage device 6. The I/O manager 14 checks the physical address and LRC fields in the eight bytes of metadata whenever data is staged into cache, transferred from cache to the host 4, and transferred from the host to cache 12

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To initialize a data transfer operation, the processor 10 would first set-up a hardware control block in the cache 12 for the I/O manager to use 14 when determining how to process data transferring among the host 4, storage device 6, and cache 12. FIG. 2 illustrates the fields in the hardware control block 50. The bytes for the target physical address (PA) (bytes 0-5) and source physical address (PA) (bytes 26-31) are both used if the operation is to read data from a target sector or write data to a source or target sector(s) involved in a Flash Copy relationship when the source data has not yet been copied over to the target data, as indicated in the bitmap discussed above. In such case, the source sector must be copied to the cache 12 as the target sector. The physical address (PA) of the source and target sectors involved in the operation are maintained in the target PA and source PA fields in the hardware control block. If the operation is a write, then target physical address (PA), bytes 0-5, is used when the update is read from the host 4 and written to the cache 12. The source physical address (PA) (bytes 26-31) is used when the update is read from the cache 12 and then written to the storage device 6. For a non-Flash Copy read operation, the source physical address is used (PA) for both the transfer from the storage device 6 to cache 12 and from the cache 12 to the host 4. However, if sectors in the storage device 6 are in a 512 byte format, then the target physical address is used when staging the sector from the storage device 6 to the cache 12, and the target physical address is used to transfer the data from the cache 12 to the host.

The controls field (bytes 24-25) provide bits that the processor 10 sets to instruct the I/O manager 14 on how to process data being transferred among the host 4, storage device 6, and cache 12. Bits 10, 11, 12, and 13 are set when there is a Flash Copy relationship between source and target sectors. If the host 4 is trying to read a target sector involved in a Flash Copy relationship that has not yet been updated with the source sector, then the I/O manager copies the data from the source sector into cache. The I/O manager 14 checks the source physical address (PA) in the metadata of the sector staged into cache with the source physical address (PA) in the hardware control block (HCB).

Table 1. Demographic characteristics of the study population	
Age (years)	65.0 ± 1.5
Gender	
Male	50 (75.0%)
Female	15 (25.0%)
Education (years)	12.0 ± 1.0
Marital status	
Married	40 (60.0%)
Single	10 (15.0%)
Widowed	15 (25.0%)
Divorced	5 (7.5%)
Occupation	
Retired	40 (60.0%)
Unemployed	10 (15.0%)
Employed	15 (25.0%)
Income (USD/month)	1,200 ± 200
Health status	
Good	30 (45.0%)
Fair	20 (30.0%)
Poor	10 (15.0%)
Chronic diseases	
Hypertension	25 (37.5%)
Diabetes	15 (22.5%)
Heart disease	10 (15.0%)
Stroke	5 (7.5%)
Arthritis	10 (15.0%)
Chronic kidney disease	5 (7.5%)
Chronic lung disease	5 (7.5%)
Chronic liver disease	5 (7.5%)
Chronic mental health	5 (7.5%)
Chronic pain	10 (15.0%)
Chronic infection	5 (7.5%)
Chronic cancer	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
Chronic hematologic	5 (7.5%)
Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
Chronic genitourinary	5 (7.5%)
Chronic skin	5 (7.5%)
Chronic musculoskeletal	5 (7.5%)
Chronic sensory	5 (7.5%)
Chronic circulatory	5 (7.5%)
Chronic reproductive	5 (7.5%)
Chronic oncologic	5 (7.5%)
Chronic infectious	5 (7.5%)
Chronic parasitic	5 (7.5%)
Chronic allergic	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
Chronic hematologic	5 (7.5%)
Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
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Chronic skin	5 (7.5%)
Chronic musculoskeletal	5 (7.5%)
Chronic sensory	5 (7.5%)
Chronic circulatory	5 (7.5%)
Chronic reproductive	5 (7.5%)
Chronic oncologic	5 (7.5%)
Chronic infectious	5 (7.5%)
Chronic parasitic	5 (7.5%)
Chronic allergic	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
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Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
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Chronic skin	5 (7.5%)
Chronic musculoskeletal	5 (7.5%)
Chronic sensory	5 (7.5%)
Chronic circulatory	5 (7.5%)
Chronic reproductive	5 (7.5%)
Chronic oncologic	5 (7.5%)
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Chronic musculoskeletal	5 (7.5%)
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Chronic parasitic	5 (7.5%)
Chronic allergic	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
Chronic hematologic	5 (7.5%)
Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
Chronic genitourinary	5 (7.5%)
Chronic skin	5 (7.5%)
Chronic musculoskeletal	5 (7.5%)
Chronic sensory	5 (7.5%)
Chronic circulatory	5 (7.5%)
Chronic reproductive	5 (7.5%)
Chronic oncologic	5 (7.5%)
Chronic infectious	5 (7.5%)
Chronic parasitic	5 (7.5%)
Chronic allergic	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
Chronic hematologic	5 (7.5%)
Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
Chronic genitourinary	5 (7.5%)
Chronic skin	5 (7.5%)
Chronic musculoskeletal	5 (7.5%)
Chronic sensory	5 (7.5%)
Chronic circulatory	5 (7.5%)
Chronic reproductive	5 (7.5%)
Chronic oncologic	5 (7.5%)
Chronic infectious	5 (7.5%)
Chronic parasitic	5 (7.5%)
Chronic allergic	5 (7.5%)
Chronic autoimmune	5 (7.5%)
Chronic endocrine	5 (7.5%)
Chronic hematologic	5 (7.5%)
Chronic immunologic	5 (7.5%)
Chronic neurologic	5 (7.5%)
Chronic respiratory	5 (7.5%)
Chronic digestive	5 (7.5%)
Chronic genitourinary	5 (7.5%)
Chronic skin	

"00" indicates that 512 bytes are transferred from cache 12 to the host 4, with no formatting changes. In this case, no metadata is maintained with the 512 byte sector in cache 12.

"10" indicates that a 520 byte sector from the cache 12 is converted to a 512 byte sector for the disk or storage device 6, thereby stripping the sector of the metadata in cache before storing on disk. In this case, the disk does not maintain the metadata.

The processor 10 also sets-up fields in a DMA address 60 having the address
25 format shown in FIG. 3 to provide information on how to transfer updates from the host 4
to the cache 12, and from the cache 12 to the storage device 6. This DMA address 60 is
used by the host protocol chip 30 to DMA sectors from the host 4 into cache 12 and by
the storage protocol chip 32 to DMA sectors from the cache 12 to the storage device 6.

The hardware control block (HCB) enabled field (bit 62) indicates whether to use the hardware control block (HCB) index in memory; the hardware control block index (bits 61-64) references the hardware control blocks in memory; and the memory address in cache 12 (bits 32-0) indicates where to store the first sector of the data update from the host 4.

FIG. 4 illustrates logic implemented in the firmware or software of the processor 10 to set-up the hardware control block (HCB) of FIG. 2 in cache 12 and the fields in the DMA address of FIG. 3 for a write request received by the host protocol chip 30 from the host 4 at block 100. In preferred embodiments, the host protocol chip 30 would send the write request, such as a SCSI write request, to the processor 10 to initially process. In response to the write request, the processor 10 allocates (at block 102) a page in cache 12 to store the updated sector(s). This cache page references the logical disk including the requested sectors. The processor 10 further allocates (at block 104) a hardware control block (HCB) for the n sectors to update in the storage device 6. Because the sectors received from the host comprise 512 bytes of customer data, i.e., no metadata, the processor 10 sets (at block 106) the add LRC (bit 10) to "on" to add the LRC code to the metadata and the add physical address (PA) (bit 11) to "on" to add the target physical address of where to write the sector i to the storage device 6. FIG. 3 shows that bit 11 is for adding the target PA, which is also used for Flash Copy operations as discussed in the related patent application "Method, System, And Data Structures For Transferring Blocks of Data From a Storage Device to Requesting Application," having attorney docket no. TUC9-2000-0012. In the context of update operations, bit 11 is used to indicate whether to add the target physical address to the metadata or the location in the storage device to update.

The processor 10 further sets (at block 108) the target physical address (PA) at bytes 0-5 to the first sector i in the write operation, which is specified in the SCSI write command. Bits 14-15 are set (at block 110) to "01" to indicate that the 512 byte sector

from the host is transformed to a 520 byte sector, including the eight bytes of metadata, in the cache 12.

The processor 10 then creates (at block 112) a DMA memory address 60 with the hardware control block (HCB) enabled bit "on", the hardware control block index
5 providing an index into the hardware control block (HCB) in cache 12, and the memory address in cache 12 where the first sector of the update is written in cache 12. The processor 10 then transfers (at block 114) the DMA address and the SCSI write request including the source physical address (PA) as the start of the write operation having a transfer length equal to the number of requested sectors to the host protocol chip 30 to use
10 to DMA the requested sectors into cache 12. Note that because the cache 12 stores 520 byte sectors including the eight bytes of metadata, the LBA size of sectors in the cache 12 is 520 bytes.

FIG. 5 illustrates logic implemented in the host protocol chip 30 to DMA the updated sector(s) into cache 12. At block 150, the host protocol chip 30 receives the
15 DMA address and SCSI write request to write n sectors or logical blocks from the source physical address (PA), where n is equal to the transfer length of the write request. The host protocol chip 30 then reads (at block 152) and places the write request to the DMA memory address (bits 32-0) in the DMA address 60 on the I/O manager host bus 20. The host protocol chip 30 then performs a loop at blocks 156 to 162 for each sector i , for
20 sectors 1 to n , i.e., from the second to last sector in the write operation. Within the loop, the host protocol chip 30 reads (at block 158) sector i from the host 4 channel and places (at block 160) a write request on the host bus 20 to write sector i to the location in the cache 12 at the memory address (bits 32-0) plus 512 bytes times i , which is the offset from the first sector written to cache 12 where sector i is cached 12. This offset location
25 in cache 12 of where to write sector i is placed in the memory address bits 0-32 of the DMA address 60, which is then used by the I/O manager 14 when writing the data to cache 12.

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- The I/O manager 14 then increments (at block 222) the LBA bytes 2-5 in the target physical address (PA) of the hardware control block (HCB) for the next $(i + 1)$ th sector to write into cache. In this way, the processor 10 only has to set up the hardware

control block (HCB) once for a host request of contiguous blocks and the I/O manager 14 increments the LBA bytes after processing one sector in the contiguous sectors requested by the host 4. If there are further sectors in the requested sectors to consider, then (at block 224), the I/O manager 14 proceeds back to block 206 to consider the next $(i + 1)$ th
5 contiguous sector the host 4 is updating. After writing all the 520 byte requested contiguous sectors to the cache 12, the I/O manager 14 then signals (at block 226) the processor 10 that all the updated sectors have been written to cache 12.

FIG. 7 illustrates logic implemented in firmware or software of the processor 10 to set-up a hardware control block (HCB) and DMA address for use by the I/O manager
10 14 and storage protocol chip 32 to DMA the requested sectors from cache 12 to the storage device 6, again bypassing the main processor 10. With respect to FIG. 7, control begins at block 250 with the processor 10 receiving the interrupt from the I/O manager 14 that the updated sector(s) were written to cache 12. In response, the processor 10 allocates (at block 252) space in cache 12 for a new hardware control block (HCB) and
15 sets (at block 254) the check LRC and physical address (PA) bits 12 and 13 "on" to check the data in cache 12 before it is written to the appropriate physical address (PA) in the storage device 6. The processor 10 then sets (at block 256) the address conversion bits 14-15 to "11", indicating that the 520 bytes in cache 12 are all stored in the storage device 6, i.e., the storage device 6 maintains the metadata.

20 The processor 10 then sets (at block 258) the source physical address (PA) bytes 26-31 in the hardware control block (HCB) to the physical address in the storage device 6 of the first sector to be updated from the data in the cache 12. The processor 10 further creates (at block 260) a DMA address with the memory select "on"; the hardware control block enabled to "on"; the hardware control block index indicating the location of the
25 hardware control block for the transfer in cache 12; and the memory address in cache 12 where the first sector in the transfer is located. The processor 10 then transfers (at block 264) the DMA address and a SCSI write request indicating the transfer length of number

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of sectors n to transfer and the source physical address (PA) as the first sector to write to the storage protocol chip 32.

FIG. 8 illustrates logic implemented in the I/O manager 14 to process the write request and DMA address the storage protocol chip 32 asserts on the storage bus 22. If (at block 302) bit 62 of the DMA address indicates that the hardware control block (HCB) is not enabled, then the I/O manager 14 transfers (at block 304) the sectors in cache 12 at the memory address indicated at bits 32-0 in the DMA address to the storage protocol chip 32 to write to the storage device 6. Otherwise, if the hardware control block (HCB) is enabled, then the I/O manager 14 begins a loop at blocks 306 to 326 to transfer the requested sectors from cache 12 to the storage protocol chip 32. In this scenario, the address conversion bits 14-15 are "11", indicating that the 520 byte sector in cache 12 is written to the storage device 6, which stores all 520 bytes including the metadata. If the conversion bits 14-15 were "00", then there would be no metadata maintained in cache 12 for the sector.

Within the loop at block 308, the I/O manager 14 accesses the hardware control block (HCB) using the hardware control block (HCB) index in bits 61-64 of the DMA address. The I/O manager 14 further reads (at block 312) the 520 bytes of the customer data and metadata from the cache 12. The I/O manager 14 then XORs (at block 314) the 518 bytes of sector i data in cache 12 and compares (at block 316) the XOR value with the LRC bytes in the sector. If there is not a match, i.e., the residual is not zero, then the transfer is failed (at block 318). Otherwise if there is a match and the residual is zero, then the I/O manager 14 determines (at block 320) whether the source physical address (PA) at bytes 26-31 in the hardware control block (HCB) is the same as the physical address (PA) at bytes 512 to 517 in the metadata of the read sector i . If so, then the I/O manager 14 increments (at block 322) source LBA at bytes 28-31 in the hardware control block (HCB) by one and transfers (at block 324) the 520 bytes to the storage protocol chip 32. From blocks 304 to 324 control transfers to block 326 where the I/O manager 14 accesses the data for the next $(i + 1)$ th sector in cache 12 and goes back to block 306 to

process the accessed data to check whether the data has been corrupted or inadvertently changed while in cache 12. Upon receiving the requested data from the I/O manager 14, the storage protocol chip 32 writes the data to the storage device 6. Further, the I/O manager 14 (at block 328) signals the processor 10 when all the data has been transferred
5 from cache 12 to the storage protocol chip 32 that the update is complete.

Preferred embodiments provide a technique for maintaining metadata with a sector of data in cache 12 to use when transferring data from the cache 12 to the storage device 6 to update sectors in the storage device 6. The physical address (PA) and LRC metadata maintained with the sector in the cache 12 are used to determine whether the
10 data has been inadvertently changed or corrupted while in storage and whether the sector from the storage device staged into the cache is the same data that the host 4 channel provided. This checking using the metadata ensures that updates written to the storage device 6 have not been corrupted while in cache 12. Thus, the storage device 6 can be assured that it is receiving the correct data.

Further, with the preferred embodiments, the error checking and data transfer operations are handled by the I/O manager 14 and not the processor 10. The processor 10 only has to set-up the hardware control block (HCB) and DMA memory address in cache 12, which the I/O manager 14 then uses to perform the error checking and data transfer operations. In this way, processor 10 performance is substantially improved because the
15 processor is not burdened with the substantial processing task of transferring data and
20 updating the metadata in cache, as well as performing the checking operations using the metadata.

The metadata maintained with the sectors in cache 12 can also be used in data error recovery operations. In further embodiments, a portion of the cache 12 may be
25 backed-up by a battery to provide a non-volatile storage unit (NVS). To maximize the amount of customer data maintained and protected in NVS, in preferred embodiments, the cache 12 control data would be maintained in a volatile portion of the cache. As discussed cache control blocks, indicate for each location in cache, the disk ID and LBA

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1. 1990-1991 2. 1991-1992 3. 1992-1993 4. 1993-1994 5. 1994-1995 6. 1995-1996 7. 1996-1997 8. 1997-1998 9. 1998-1999 10. 1999-2000 11. 2000-2001 12. 2001-2002 13. 2002-2003 14. 2003-2004 15. 2004-2005 16. 2005-2006 17. 2006-2007 18. 2007-2008 19. 2008-2009 20. 2009-2010 21. 2010-2011 22. 2011-2012 23. 2012-2013 24. 2013-2014 25. 2014-2015 26. 2015-2016 27. 2016-2017 28. 2017-2018 29. 2018-2019 30. 2019-2020 31. 2020-2021 32. 2021-2022 33. 2022-2023 34. 2023-2024 35. 2024-2025 36. 2025-2026 37. 2026-2027 38. 2027-2028 39. 2028-2029 40. 2029-2030 41. 2030-2031 42. 2031-2032 43. 2032-2033 44. 2033-2034 45. 2034-2035 46. 2035-2036 47. 2036-2037 48. 2037-2038 49. 2038-2039 50. 2039-2040 51. 2040-2041 52. 2041-2042 53. 2042-2043 54. 2043-2044 55. 2044-2045 56. 2045-2046 57. 2046-2047 58. 2047-2048 59. 2048-2049 60. 2049-2050 61. 2050-2051 62. 2051-2052 63. 2052-2053 64. 2053-2054 65. 2054-2055 66. 2055-2056 67. 2056-2057 68. 2057-2058 69. 2058-2059 70. 2059-2060 71. 2060-2061 72. 2061-2062 73. 2062-2063 74. 2063-2064 75. 2064-2065 76. 2065-2066 77. 2066-2067 78. 2067-2068 79. 2068-2069 80. 2069-2070 81. 2070-2071 82. 2071-2072 83. 2072-2073 84. 2073-2074 85. 2074-2075 86. 2075-2076 87. 2076-2077 88. 2077-2078 89. 2078-2079 90. 2079-2080 91. 2080-2081 92. 2081-2082 93. 2082-2083 94. 2083-2084 95. 2084-2085 96. 2085-2086 97. 2086-2087 98. 2087-2088 99. 2088-2089 100. 2089-2090 101. 2090-2091 102. 2091-2092 103. 2092-2093 104. 2093-2094 105. 2094-2095 106. 2095-2096 107. 2096-2097 108. 2097-2098 109. 2098-2099 110. 2099-2100 111. 2100-2101 112. 2101-2102 113. 2102-2103 114. 2103-2104 115. 2104-2105 116. 2105-2106 117. 2106-2107 118. 2107-2108 119. 2108-2109 120. 2109-2110 121. 2110-2111 122. 2111-2112 123. 2112-2113 124. 2113-2114 125. 2114-2115 126. 2115-2116 127. 2116-2117 128. 2117-2118 129. 2118-2119 130. 2119-2120 131. 2120-2121 132. 2121-2122 133. 2122-2123 134. 2123-2124 135. 2124-2125 136. 2125-2126 137. 2126-2127 138. 2127-2128 139. 2128-2129 140. 2129-2130 141. 2130-2131 142. 2131-2132 143. 2132-2133 144. 2133-2134 145. 2134-2135 146. 2135-2136 147. 2136-2137 148. 2137-2138 149. 2138-2139 150. 2139-2140 151. 2140-2141 152. 2141-2142 153. 2142-2143 154. 2143-2144 155. 2144-2145 156. 2145-2146 157. 2146-2147 158. 2147-2148 159. 2148-2149 160. 2149-2150 161. 2150-2151 162. 2151-2152 163. 2152-2153 164. 2153-2154 165. 2154-2155 166. 2155-2156 167. 2156-2157 168. 2157-2158 169. 2158-2159 170. 2159-2160 171. 2160-2161 172. 2161-2162 173. 2162-2163 174. 2163-2164 175. 2164-2165 176. 2165-2166 177. 2166-2167 178. 2167-2168 179. 2168-2169 180. 2169-2170 181. 2170-2171 182. 2171-2172 183. 2172-2173 184. 2173-2174 185. 2174-2175 186. 2175-2176 187. 2176-2177 188. 2177-2178 189. 2178-2179 190. 2179-2180 191. 2180-2181 192. 2181-2182 193. 2182-2183 194. 2183-2184 195. 2184-2185 196. 2185-2186 197. 2186-2187 198. 2187-2188 199. 2188-2189 200. 2189-2190 201. 2190-2191 202. 2191-2192 203. 2192-2193 204. 2193-2194 205. 2194-2195 206. 2195-2196 207. 2196-2197 208. 2197-2198 209. 2198-2199 210. 2199-2200 211. 2200-2201 212. 2201-2202 213. 2202-2203 214. 2203-2204 215. 2204-2205 216. 2205-2206 217. 2206-2207 218. 2207-2208 219. 2208-2209 220. 2209-2210 221.	
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update bitmap indicates that sector i has not been modified, then the processor 10 marks (at block 362) the bitmap value for sector i as invalid as it does not contain valid data.

From block 360 or if the sector i has not been changed in cache 12 (the yes branch of block 356), control transfers to block 354 where the processor 10 rebuilds (at block 5 364) the control block for the sector i using the disk identifier and LBA address information maintained in the metadata (bytes 512-517) of the sector i . The processor 10 would then add (at block 366) the control block for sector i to a hash table and LRU list in the volatile part of cache.

The preferred embodiments maximize the amount of customer data that may be 10 maintained in the NVS portion of cache 12 by storing control blocks and other related control information in the volatile portion of cache. To also allow for data recovery when maximizing the use of the NVS cache for customer data, preferred embodiments maintain 6 bytes of metadata with each sector of data in cache. Further, for each page in cache, two bitmaps are maintained in the NVS portion of cache including values for each sector 15 in the page to indicate whether the sector is valid/invalid and modified/unmodified. This bitmap and metadata information maintained for each sector in the NVS portion of cache allows the cache control blocks to be rebuilt in case of a power loss when the control blocks are maintained in a volatile portion of cache in order to maximize the customer data that may be maintained in the NVS portion of cache..

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Conclusion

The following describes some alternative embodiments for accomplishing the present invention.

The preferred embodiments may be implemented as a method, system, apparatus 25 or program using standard programming and/or engineering techniques to produce software, firmware, hardware, or any combination thereof. The control logic for the I/O manager is implemented in logic circuits of an electronic device, such as an integrated circuit device. The control logic that causes the processor to perform various set-up

operations is implemented in firmware of the storage controller processor. Further, the host and storage protocol chips are implemented in separate integrated circuit devices. In alternative embodiments, logic described herein as implemented in logic circuits may be implemented in firmware that controls a programmable device, such as a processor.

- 5 Alternatively, logic described herein as implemented in firmware may be implemented as logic circuitry within an integrated circuit device.

The preferred logic of FIGs. 4-9 describe specific operations occurring in a particular order. In alternative embodiments, certain of the logic operations may be performed in a different order, modified or removed and still implement preferred
10 embodiments of the present invention. Moreover, steps may be added to the above described logic and still conform to the preferred embodiments. Further, operations described herein may occur sequentially or certain operations may be processed in parallel.

In preferred embodiments, data was transferred in sectors. In alternative
15 embodiments, blocks of data may be transferred in storage units other than sectors.

In the described embodiments, the hardware control block and DMA memory address had particular fields at particular bit and byte locations. In alternative embodiments, different fields may be included in the hardware control block and DMA memory address and the described fields may be located at different byte and bit locations
20 than described in the examples Figures 2-3.

Preferred embodiments were described with respect to a storage controller that interfaces between a host and the storage device. In alternative embodiments, the operations performed by the I/O manager and other components, such as the processor 10 and protocol chips 32 may be implemented in a computer system that interfaces with any
25 type of storage device, such as one or more disk drives, a tape drive etc. In such case, the operations performed by the I/O manager 14 and protocol chips 30, 32 may be implemented in one or more hardware components in the computer separate from the main processor. Still further, in alternative embodiments any number of the I/O manager

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10 and protocol chip 32 operations may be performed by the processor 10 to check data as it is being transferred from disk to cache and/or from cache to host.

In preferred embodiments, requested data was written into cache before being destaged to the storage device. In alternative embodiments, the data from the cache may
5 be checked using the metadata and then the customer data portion, i.e., 512 bytes, would be transferred to the storage device.

In preferred embodiments, the requesting application was in a computer system remote from the storage controller. In alternative embodiments, the requesting application may comprise an application program executing in the computer system that
10 performs the I/O manager operations of checking the metadata to determine whether data stored in the storage device and/or in cache has been inadvertently modified or corrupted.

In summary, preferred embodiments disclose a method, system, and data structures for updating data in a storage device. An update to one or more blocks of customer data at addresses in the storage device is received. For each block of data to
15 update, metadata is generated indicating the address of the block in the storage device and an error checking code that is capable of being used to determine whether the customer data in the block has changed. For each block of data to update, the block of data to update and the metadata for the block are written to cache. Further, for each block of data to update, the block of data and the metadata are transferred for the block from the cache
20 to the storage device.

The foregoing description of the preferred embodiments of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of
25 the invention be limited not by this detailed description, but rather by the claims appended hereto. The above specification, examples and data provide a complete description of the manufacture and use of the composition of the invention. Since many

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- 5 **Enterprise Storage Server and ESCON are registered trademarks and Fibre Channel
Raid Storage Controller is a trademark of IBM; Windows and Windows NT are registered
trademarks of Microsoft Corporation.

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1 2. The method of claim 1, further comprising
2 for each block of data to update, determining whether the address of the block of
3 data in the metadata and the address in the storage device to update match;
4 for each block of data to update, performing an operation on the customer data in
5 the block and the error checking code to determine whether the customer data has
6 changed, wherein the block of data to update and metadata for the block is transferred to
7 the storage device if the address of the block in the metadata and requested address match
8 and the customer data has not changed.

1 4. The method of claim 1, further comprising:
2 setting up a control block including the address of a first block of data to update in
3 the storage device and an instruction to generate the address and error code as metadata

4 for the block, wherein generating the metadata indicating the address of the block in the
5 storage device comprises using the block address in the control block as the address of the
6 block in the storage device to write as the metadata.

1 5. The method of claim 4, further comprising:
2 for each block to update, incrementing the block address in the control block
3 before processing a next block, wherein the incremented block address is used as the
4 address in the storage device to generate as metadata for the next block of data to update.

1 6. The method of claim 1, wherein generating the error checking code
2 comprises XORing the customer data in the block such that the error checking code
3 comprises a longitudinal redundancy checking code.

1 7. The method of claim 2, wherein the steps of generating the metadata and
2 determining whether the address of the block in the storage device and block address in
3 metadata match and performing the operation on the customer data and error checking is
4 performed by a device that is separate from a main processor, wherein the device transfers
5 the block of data from the cache to the storage device using a direct memory access
6 (DMA) channel.

1 8. The method of claim 2, further comprising:
2 setting up a control block in the cache including the block address of a first block
3 to update and an instruction to check the address and error code of the block, wherein
4 determining whether the block address in the metadata in the storage device and the block
5 address to update match comprises using the block address in the control block in the
6 cache as the block address to update to compare with the block address in the metadata
7 stored with the block in the cache.

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1 12. The method of claim 10, further comprising:
2 maintaining a first data structure indicating whether each block of data in cache is
3 valid or invalid and a second data structure indicating whether each block of data includes
4 modified or unmodified data;
5 for each block of data in the cache, using the error checking code to determine
6 whether the block of data in the cache has changed; and
7 for each block of data in the cache, indicating in the first data structure that the
8 block of data is invalid if the second data structure indicates that the block of data is not
9 modified and the block of data has changed.

1 13. The method of claim 10, further comprising:
2 maintaining a first data structure indicating whether each block of data in cache is
3 valid or invalid and a second data structure indicating whether each block of data includes
4 modified or unmodified data;
5 for each block of data in the cache, using the error checking code to determine
6 whether the block of data in the cache has changed; and
7 for each block of data in the cache accessing the update to the block from another
8 storage location if the second data structure indicates that the block of data is modified
9 and the block of data has changed.

1 14. The method of claim 10, further comprising:
2 maintaining a first data structure indicating whether each block of data in cache is
3 valid or invalid and a second data structure indicating whether each block of data includes
4 modified or unmodified data;
5 for each block of data in the cache, using the error checking code to determine
6 whether the block of data in the cache has changed; and
7 rebuilding the cache control block for the block using the address information in
8 the metadata for the block if the block of data has not changed.

1 15. The method of claim 1, wherein the error checking code is further capable
2 of being used to determine whether the metadata in the block has changed.

1 16. A system for updating data, comprising:
2 a storage device,
3 means for receiving an update to one or more blocks of customer data at addresses
4 in the storage device;

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10 means transferring the block of data and the metadata for the block from the cache
11 to the storage device for each block of data to update.

4 means for performing an operation on the customer data in the block and the error
5 checking code to determine whether the customer data has changed for each block of data
6 to update, wherein the block of data to update and metadata for the block is transferred to
7 the storage device if the address of the block in the metadata and requested address match
8 and the customer data has not changed.

1 19. The system of claim 16, further comprising:

2 means for setting up a control block including the address of a first block of data
3 to update in the storage device and an instruction to generate the address and error code as
4 metadata for the block, wherein the means for generating the metadata indicating the
5 address of the block in the storage device comprises using the block address in the control
6 block as the address of the block in the storage device to write as the metadata.

Table 1. Demographic characteristics of the study population	
Characteristic	Frequency (%)
Age (years)	
< 18	10 (10.0)
18-24	20 (20.0)
25-34	30 (30.0)
35-44	25 (25.0)
45-54	15 (15.0)
≥ 55	10 (10.0)
Gender	
Male	40 (40.0)
Female	60 (60.0)
Ethnicity	
White	30 (30.0)
Black	20 (20.0)
Hispanic	10 (10.0)
Asian	10 (10.0)
Other	30 (30.0)
Marital status	
Married	30 (30.0)
Single	20 (20.0)
Divorced	10 (10.0)
Widowed	10 (10.0)
Never married	30 (30.0)
Education level	
High school or less	20 (20.0)
Some college	10 (10.0)
Bachelor's degree	20 (20.0)
Master's degree	10 (10.0)
PhD	10 (10.0)
Postgraduate	10 (10.0)
Occupation	
Unemployed	10 (10.0)
Student	10 (10.0)
Professional	10 (10.0)
Managerial	10 (10.0)
Service	10 (10.0)
Skilled	10 (10.0)
Unskilled	10 (10.0)
Income (USD/year)	
< 10,000	10 (10.0)
10,000-19,999	10 (10.0)
20,000-29,999	10 (10.0)
30,000-39,999	10 (10.0)
40,000-49,999	10 (10.0)
50,000-59,999	10 (10.0)
60,000-69,999	10 (10.0)
70,000-79,999	10 (10.0)
80,000-89,999	10 (10.0)
90,000-99,999	10 (10.0)
≥ 100,000	10 (10.0)

1 20. The system of claim 19, further comprising:
2 means for incrementing the block address in the control block, for each block to
3 update, before processing a next block, wherein the incremented block address is used as
4 the address in the storage device to generate as metadata for the next block of data to
5 update.

1 21. The system of claim 16, wherein the means for generating the error
2 checking code comprises XORing the customer data in the block such that the error
3 checking code comprises a longitudinal redundancy checking code.

1 22. The system of claim 17, wherein the means for generating the metadata,
2 determining whether the address of the block in the storage device and block address in
3 metadata match, and performing the operation on the customer data and error checking
4 comprises a device that is separate from a main processor, wherein the device transfers
5 the block of data from the cache to the storage device using a direct memory access
6 (DMA) channel.

1 23. The system of claim 17, further comprising:
2 means for setting up a control block in the cache including the block address of a
3 first block to update and an instruction to check the address and error code of the block,
4 wherein the means for determining whether the block address in the metadata in the
5 storage device and the block address to update match comprises using the block address
6 in the control block in the cache as the block address to update to compare with the block
7 address in the metadata stored with the block in the cache.

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1 24. The system of claim 23, further comprising:
2 means for incrementing the block address in the first control block, for each
3 requested block, after transferring the block from the cache to the storage device, wherein
4 the incremented block address is used as the block address to compare with the block
5 address in the metadata in the cache for the next requested block.

1 24. The system of claim 16, further comprising:
2 means for recovering from a power loss; and
3 means for using the metadata for blocks in cache to rebuild cache control blocks
4 for the blocks in cache after recovering from the power loss.

1 25. The system of claim 24, wherein the blocks of data and metadata are
2 stored in a non-volatile portion of the cache and the cache control blocks are stored in a
3 volatile portion of the cache.

1 26. The system of claim 24, further comprising:
2 means for maintaining a first data structure indicating whether each block of data
3 in cache is valid or invalid and a second data structure indicating whether each block of
4 data includes modified or unmodified data;
5 means for using the error checking code, for each block of data in the cache, to
6 determine whether the block of data in the cache has changed; and
7 means for indicating in the first data structure, for each block of data in the cache,
8 that the block of data is invalid if the second data structure indicates that the block of data
9 is not modified and the block of data has changed.

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1 27. The system of claim 24, further comprising:
2 means for maintaining a first data structure indicating whether each block of data
3 in cache is valid or invalid and a second data structure indicating whether each block of
4 data includes modified or unmodified data;
5 means for using the error checking code, for each block of data in the cache, to
6 determine whether the block of data in the cache has changed; and
7 means for accessing, for each block of data in the cache, the update to the block
8 from another storage location if the second data structure indicates that the block of data
9 is modified and the block of data has changed.

1 28. The system of claim 24, further comprising:
2 means for maintaining a first data structure indicating whether each block of data
3 in cache is valid or invalid and a second data structure indicating whether each block of
4 data includes modified or unmodified data;
5 means for using the error checking code to determine whether the block of data in
6 the cache has changed for each block of data in the cache; and
7 means for rebuilding the cache control block for the block using the address
8 information in the metadata for the block if the block of data has not changed.

1 29. The system of claim 16, further comprising means for using the error
2 checking code to determine whether the metadata in the block has changed.

1 30. An integrated circuit device including logic for updating data in a storage
2 device, wherein the logic performs:
3 receiving an update to one or more blocks of customer data at addresses in the
4 storage device;

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	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2433	2434	2435	2436	2437	2438	2439	2440	2441	2442	2
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3 for each block of data to update, determining whether the address of the block of
4 data in the metadata and the address in the storage device to update match;

1 32. The integrated circuit device of claim 31, wherein the operation performed
2 on the customer data comprises XORing the customer data and wherein the error
3 checking code comprises a longitudinal redundancy checking code.

1 33. The integrated circuit device of claim 30, wherein a processor generates a
2 control block including the address of a first block of data to update in the storage device
3 and an instruction to cause the integrated circuit device to generate the address and error
4 code as metadata for the block, and wherein the integrated circuit device generates the
5 metadata indicating the address of the block in the storage device by using the block

6 address in the control block as the address of the block in the storage device to write as
7 the metadata.

1 34. The integrated circuit device of claim 33, wherein the logic further
2 performs:
3 for each block to update, incrementing the block address in the control block
4 before processing a next block, wherein the incremented block address is used as the
5 address in the storage device to generate as metadata for the next block of data to update.

1 35. The integrated circuit device of claim 30, wherein generating the error
2 checking code comprises XORing the customer data in the block such that the error
3 checking code comprises a longitudinal redundancy checking code.

1 36. The integrated circuit device of claim 31, wherein the integrated circuit
2 device is included in a storage system having a main processor, wherein the device
3 transfers the block of data from the cache to the storage device using a direct memory
4 access (DMA) channel.

1 37. The integrated circuit device of claim 31, wherein a processor generates a
2 control block in the cache including the block address of a first block to update and an
3 instruction to cause the integrated circuit device to check the address and error code of the
4 block, wherein the integrated circuit device determines whether the block address in the
5 metadata in the storage device and the block address to update match by using the block
6 address in the control block in the cache as the block address to update to compare with
7 the block address in the metadata stored with the block in the cache.

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1 38. The integrated circuit device of claim 37, wherein the logic further
2 performs:
3 for each requested block, incrementing the block address in the first control block
4 after transferring the block from the cache to the storage device, wherein the incremented
5 block address is used as the block address to compare with the block address in the
6 metadata in the cache for the next requested block.

1 39. The integrated circuit device of claim 30, wherein the logic further
2 performs:
3 recovering from a power loss; and
4 using the metadata for blocks in cache to rebuild cache control blocks for the
5 blocks in cache after recovering from the power loss.

1 40. The integrated circuit device of claim 39, wherein the blocks of data and
2 metadata are stored in a non-volatile portion of the cache and the cache control blocks are
3 stored in a volatile portion of the cache.

1 41. The integrated circuit device of claim 30, wherein the error checking code
2 is further capable of being used to determine whether the metadata in the block has
3 changed.

1 42. A computer readable medium including at least one data structure used for
2 updating data in a storage device, comprising:
3 blocks of customer data;
4 a block of metadata for each block of customer data, wherein the metadata
5 includes the address of the block in the storage device and an error checking code

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6 that is capable of being used to determine whether the customer data in the block has
7 changed while the block is in the cache, wherein block of data to update and the metadata
8 for the block are written to cache.

1 43. The computer readable medium of claim 42, further comprising:
2 a control block including the address of a first block of data to update in the
3 storage device and an instruction to generate the address and error code as metadata for
4 the block, wherein generating the metadata indicating the address of the block in the
5 storage device comprises using the block address in the control block as the address of the
6 block in the storage device to write as the metadata.

1 44. The computer readable medium of claim 43, wherein the block address in
2 the control block is incremented before processing a next block, wherein the incremented
3 block address is used as the address in the storage device to generate as metadata for the
4 next block of data to update.

1 45. The computer readable medium of claim 42, further comprising:
2 a control block in the cache including the block address of a first block to update
3 and an instruction to check the address and error code of the block, wherein determining
4 whether the block address in the metadata in the storage device and the block address to
5 update match comprises using the block address in the control block in the cache as the
6 block address to update to compare with the block address in the metadata stored with the
7 block in the cache.

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1 46. The computer readable medium of claim 42, wherein the blocks of data
2 and metadata are stored in a non-volatile portion of the cache and the cache control
3 blocks are stored in a volatile portion of the cache.

1 47. The computer readable medium of claim 42, further comprising:
2 a first data structure indicating whether each block of data in cache is valid or
3 invalid and a second data structure indicating whether each block of data includes
4 modified or unmodified data, wherein the error checking code is used to determine
5 whether each block of data in the cache has changed in the event of a data recovery event,
6 wherein the first data structure is modified to indicate that a block of data is invalid if the
7 second data structure indicates that the block of data is not modified and the block of data
8 has changed.

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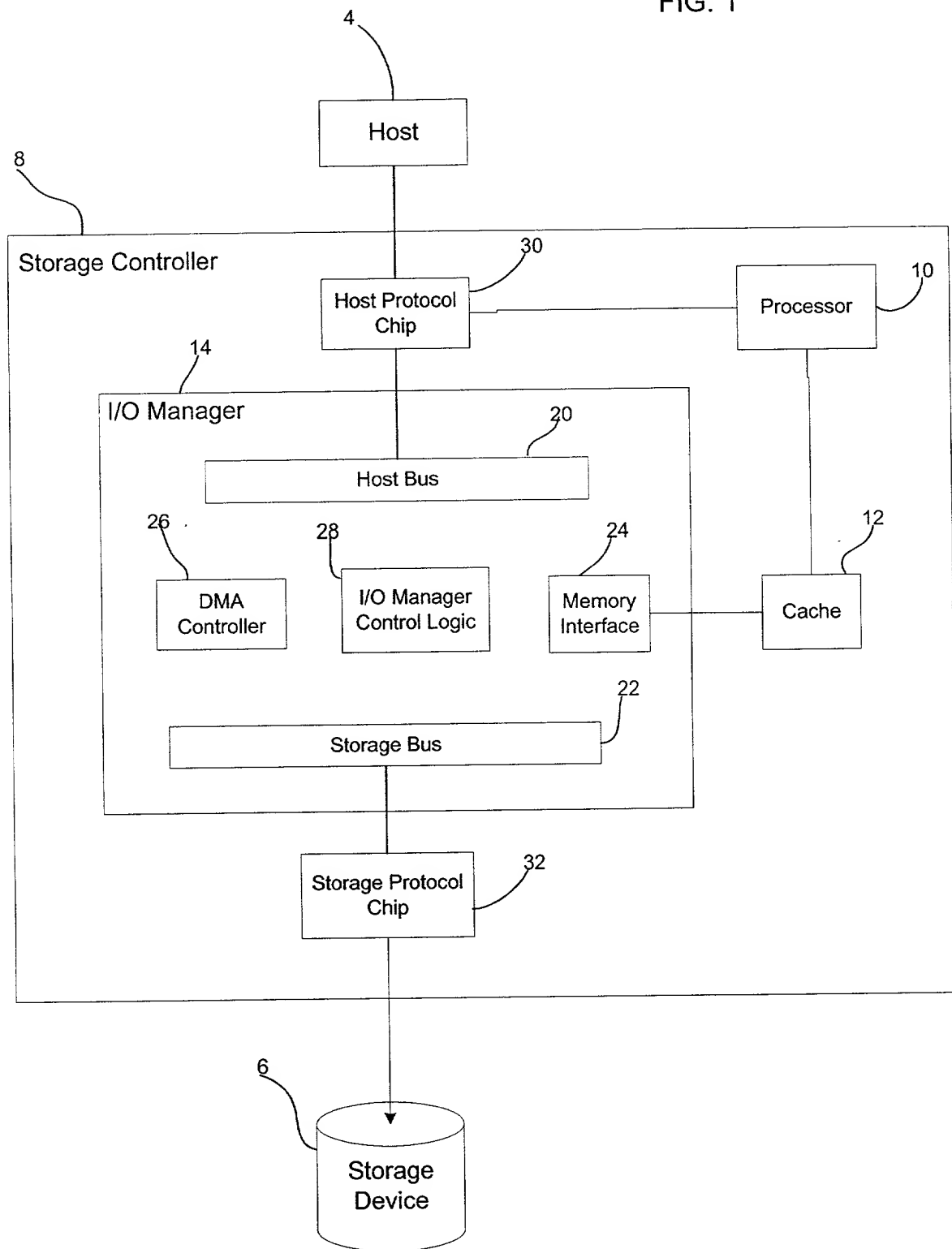
METHOD, SYSTEM, AND DATA STRUCTURES FOR USING METADATA
IN UPDATING DATA IN A STORAGE DEVICE

ABSTRACT

Disclosed is a method, system, and data structures for updating data in a storage
5 device. An update to one or more blocks of customer data at addresses in the storage
device is received. For each block of data to update, metadata is generated indicating the
address of the block in the storage device and an error checking code that is capable of
being used to determine whether the customer data in the block has changed. For each
block of data to update, the block of data to update and the metadata for the block are
10 written to cache. Further, for each block of data to update, the block of data and the
metadata are transferred for the block from the cache to the storage device. The metadata
may be used during power loss and recovery.

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FIG. 1



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FIG.2

Hardware Control Block	
Byte	Field
<u>0-5</u>	<u>Target PA</u>
0 - 1	Disk ID
2 - 5	LBA
6-7	Reserved for processor
8-23	Reserved for I/O Manager
<u>24-25</u>	<u>Controls</u>
Bits 0 - 9	Reserved
Bit 10	Recalculate LRC after Target PA is added and add LRC
Bit 11	Add target PA; use the target PA. The LBA is used for the first transferred sector. Increment the LBA for subsequent transferred sector.
Bit 12	Check LRC
Bit 13	Check PA. Use the source PA. LBA must match first transferred sector. Increment the LBA for subsequent transferred sector.
Bits 14 - 15	Address Conversion: 00 - 512 cache to 512 host 01 - 512 host to 520 cache 10 - 520 cache to 512 disk 11 - 520 cache/disk
<u>26-31</u>	<u>Source PA</u>
26-27	Disk ID
28-31	LBA

FIG.3

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ADDRESS FORMAT	
Bit Offset	Field Description
63	Reserved
62	Hardware Control Block enabled (0 - do not use HCB; 1 - use HCB index
61-64	Hardware Control Block Index.
43-33	Reserved
32-0	Memory Address

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FIG.4

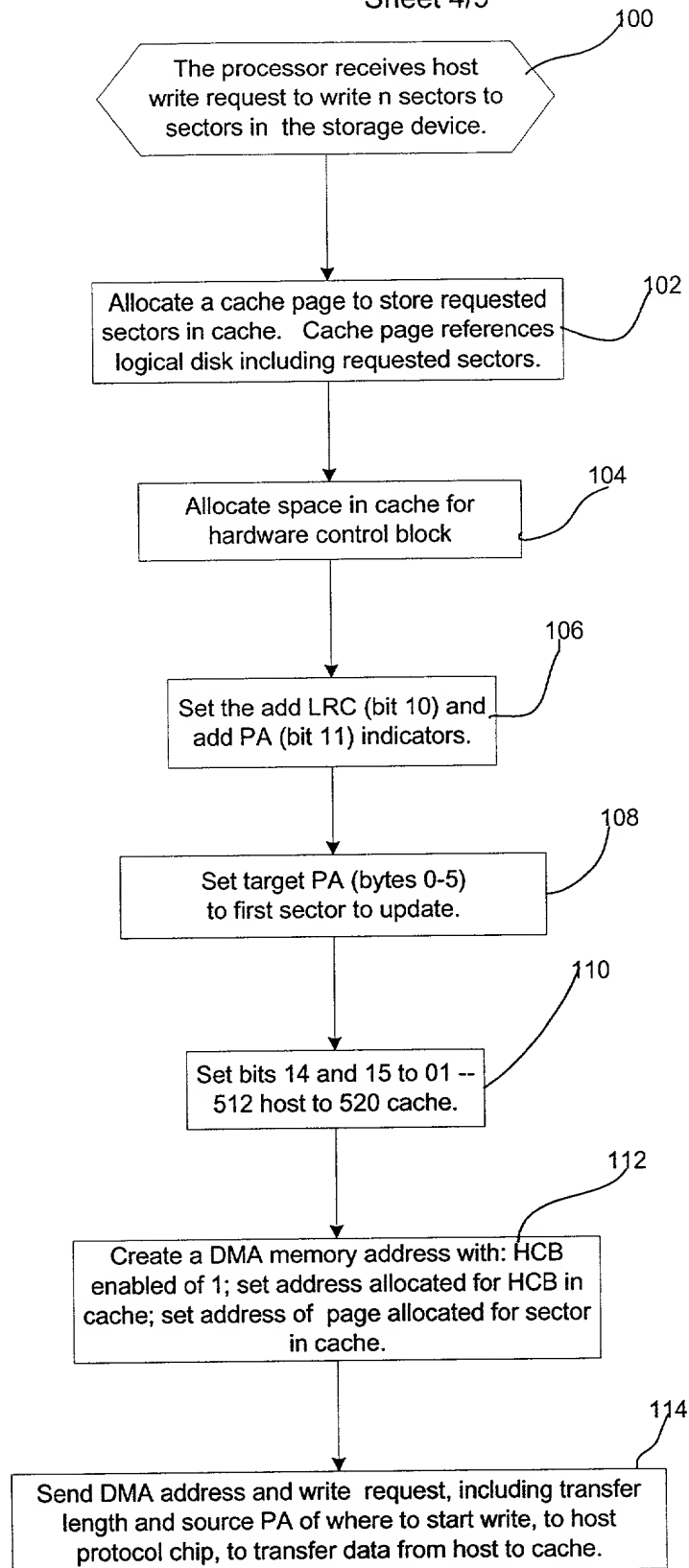
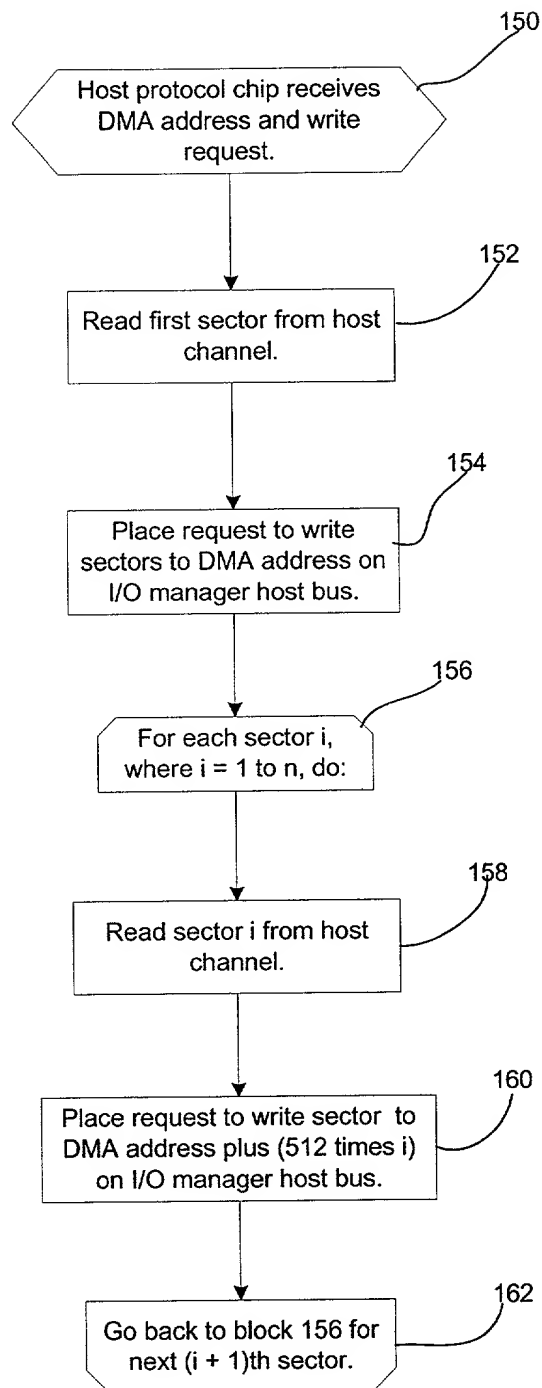


FIG.5



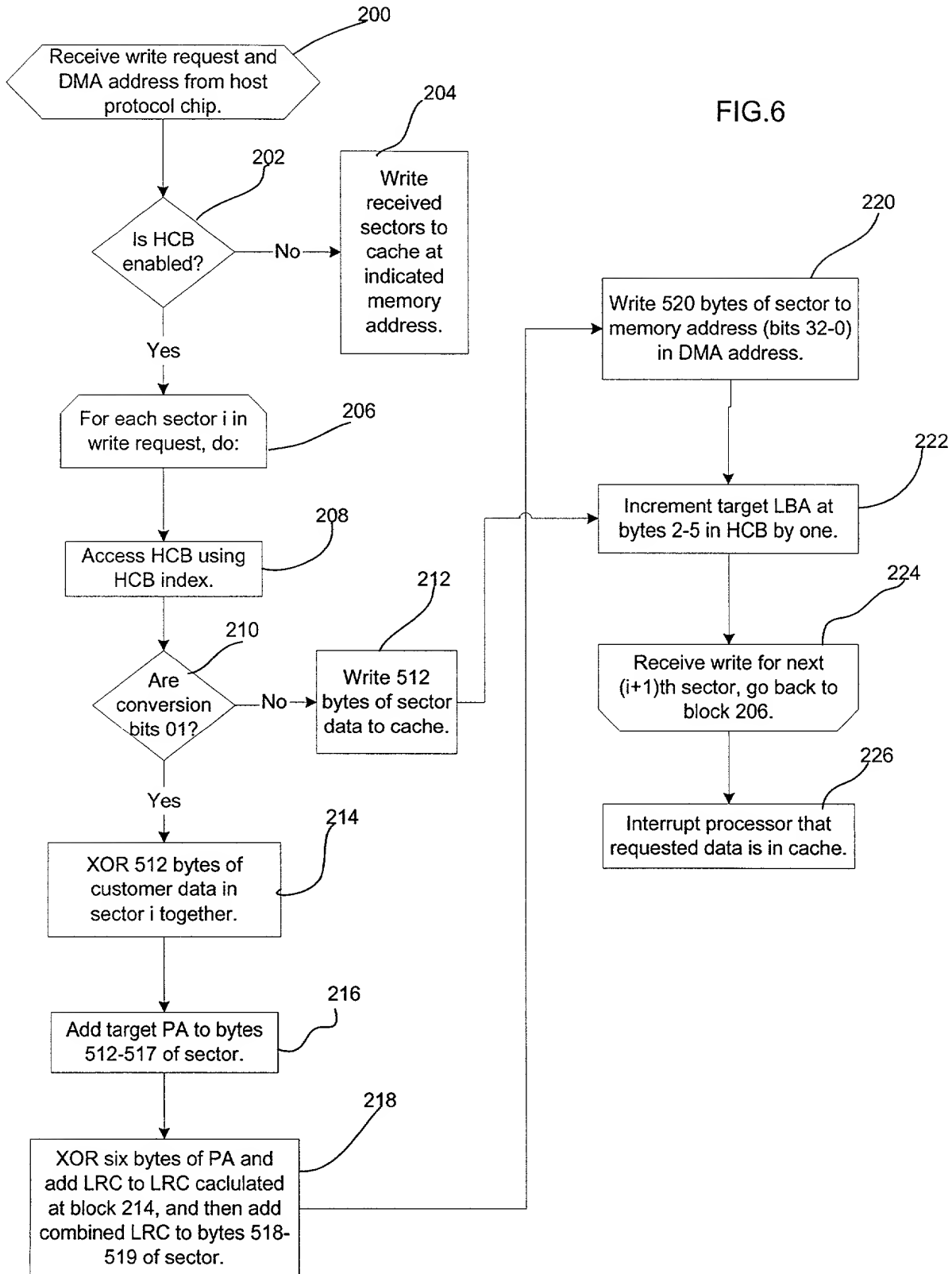


FIG.7

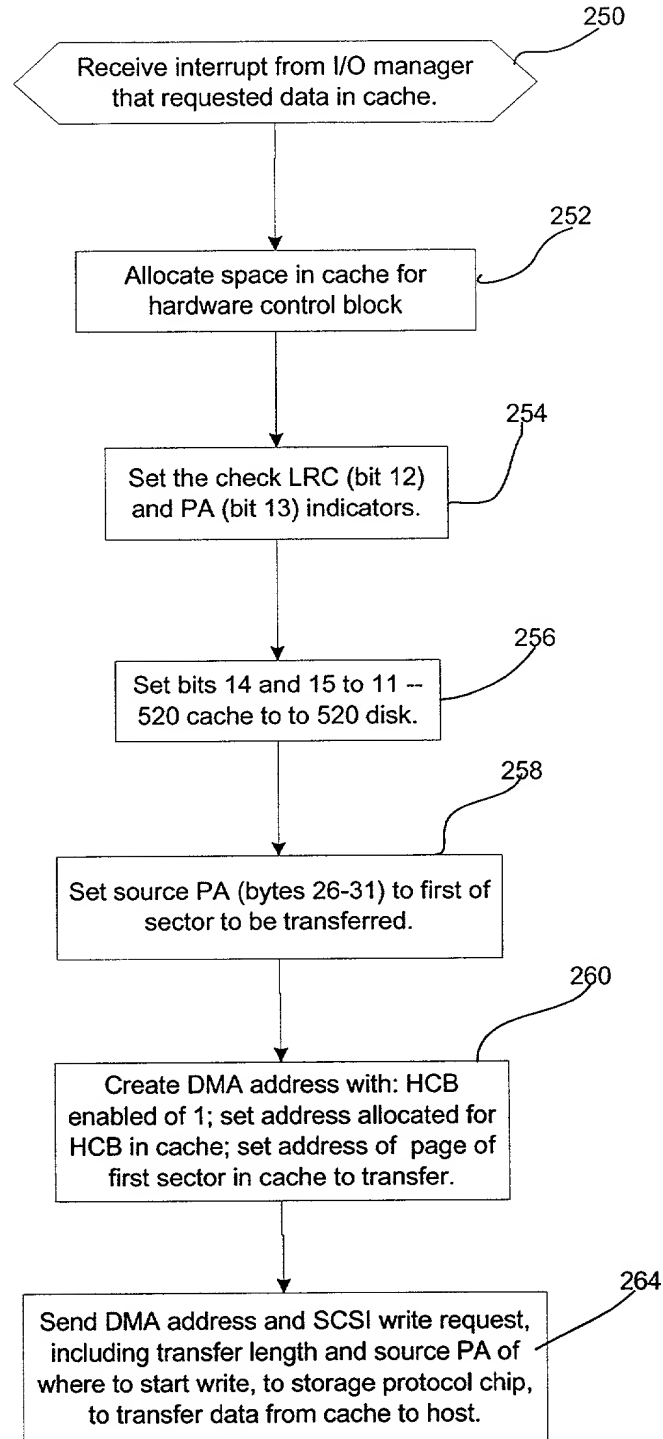


FIG.8

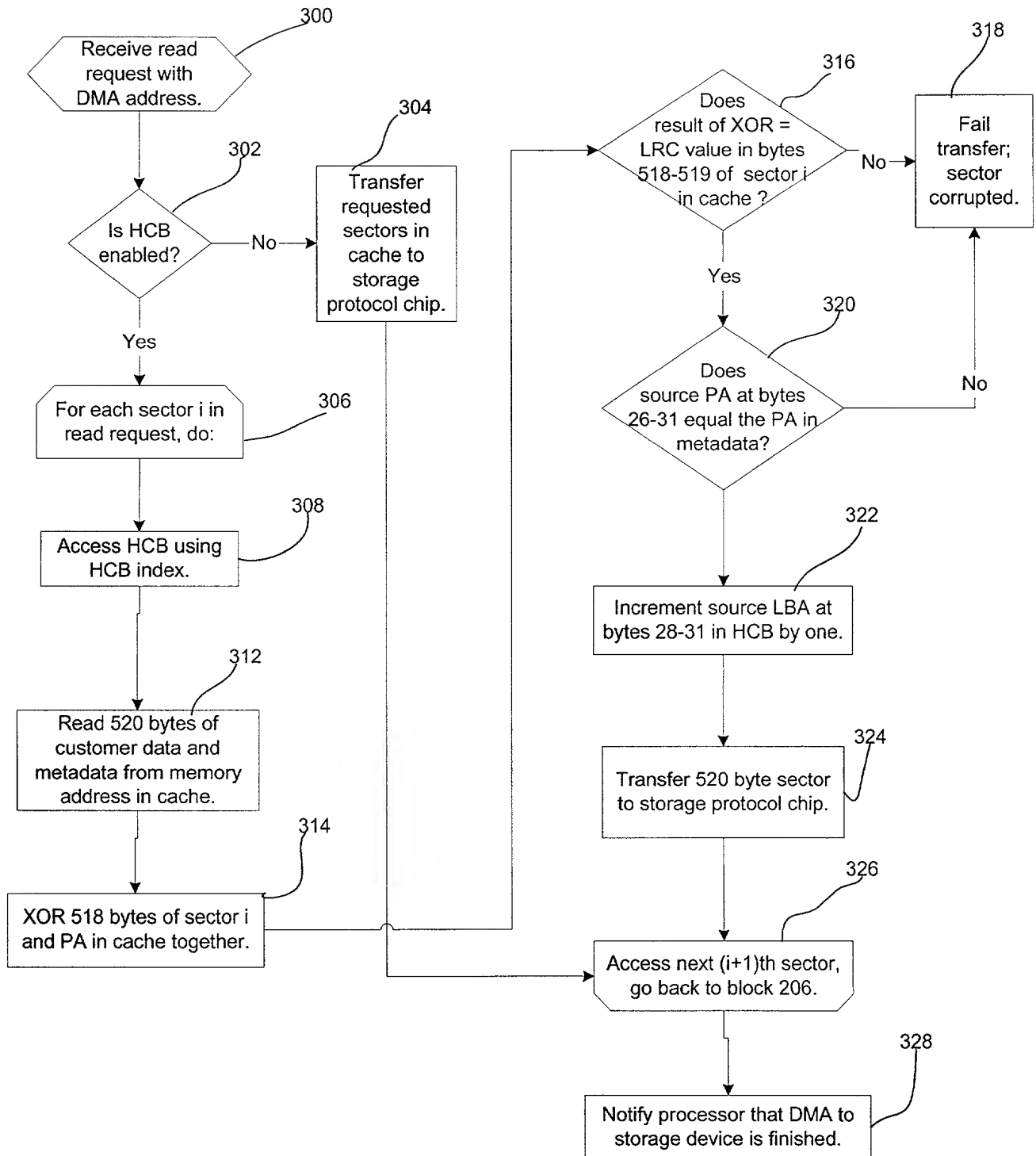
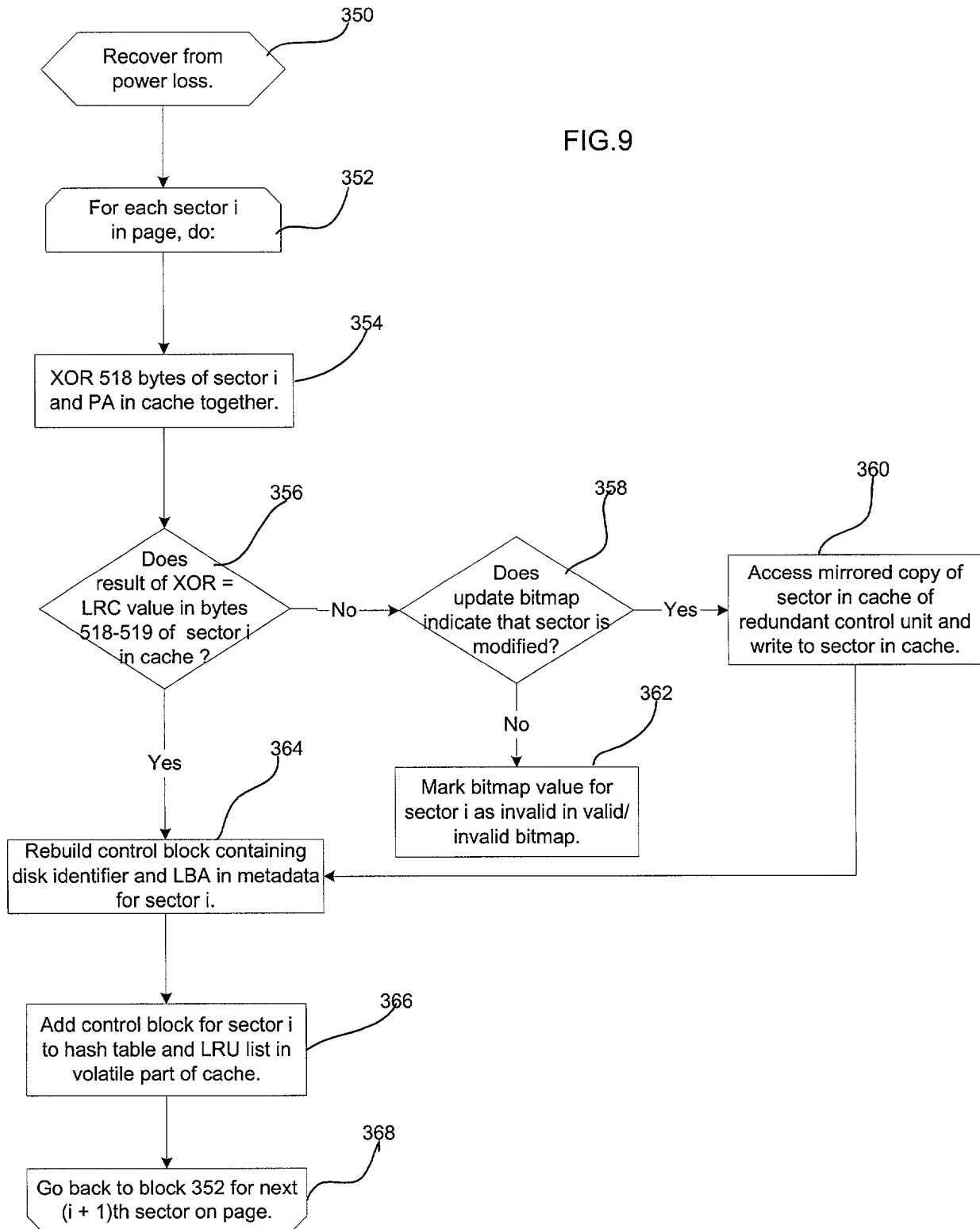


FIG.9



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET:
TUC920000013US1

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD, SYSTEM, AND DATA STRUCTURES FOR USING METADATA IN UPDATING DATA IN A STORAGE DEVICE

the specification of which (check one)

X is attached hereto.

_____ was filed on _____

as Application Serial No. _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____	_____	_____	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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